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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|  |  |                               |                          |
|--|--|-------------------------------|--------------------------|
|  |  | TC 1700<br>Application Number | 740756-2438              |
|  |  | Filing Date                   | February 20, 2002        |
|  |  | First Named Inventor          | Shunpei YAMAZAKI, et al. |
|  |  | Group Art Unit                | 2825                     |
|  |  | Examiner Name                 | Lee, Granvill D.         |
| Total Number of Pages in This Submission |  | Attorney Docket Number        | 740756-2438              |

### ENCLOSURES (check all that apply)

- |   |  |  |
|---|--|--|
| <input checked="" type="checkbox"/> Fec Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input checked="" type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input checked="" type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers<br>(for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Declaration and Power of Attorney<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input type="checkbox"/> Application Data Sheet<br><input type="checkbox"/> Request for Corrected Filing Receipt with Enclosures<br><input type="checkbox"/> A self-addressed prepaid postcard for acknowledging receipt<br><input type="checkbox"/> Other Enclosure(s) (Please identify below): |
|---|--|--|

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### Remarks

- The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 19-2380 for the above identified docket number.

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                               |  |
|-------------------------------|--|
| Firm<br>or<br>Individual name | Jeffrey L. Costellia, Reg. No. 35,483<br>Nixon Peabody LLP<br>401 9 <sup>th</sup> Street, N.W., Suite 900<br>Washington, D.C. 20004-2128 |
| Signature                     |  |
| Date                          | November 19, 2003  |

### CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]

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- transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703) \_\_\_\_\_

Date

Signature

Typed or printed name

# FEE TRANSMITTAL FOR FY 2003

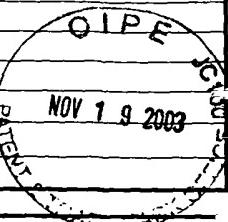
Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT**

(\$ 420)

| Complete if Known    |                          |
|----------------------|--------------------------|
| Application Number   | 10/078,240               |
| Filing Date          | February 20, 2002        |
| First Named Inventor | Shunpei YAMAZAKI, et al. |
| Examiner Name        | Lee, Granville D.        |
| Art Unit             | 2825                     |
| Attorney Docket No.  | 740756-2438              |



## METHOD OF PAYMENT (check all that apply)

Check  Credit Card  Money Order  Other  None

Deposit Account:

Deposit Account Number

19-2380

Deposit Account Name

Nixon Peabody LLP

## The Commissioner is authorized to: (check all that apply)

- Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s)  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### I. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description             | Fee Paid |
|----------------------------|----------------------------|-----------------------------|----------|
| 1001                       | 770                        | 2001 Utility filing fee     |          |
| 1002                       | 340                        | 2002 Design filing fee      |          |
| 1003                       | 530                        | 2003 Plant filing fee       |          |
| 1004                       | 770                        | 2004 Reissue filing fee     |          |
| 1005                       | 160                        | 2005 Provisional filing fee |          |

**SUBTOTAL (1) (\$ 0)**

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

|                    | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Total Claims       | 20** -       | X [ ] -        | 0        |
| Independent Claims | 3** -        | X [ ] -        | 0        |
| Multiple Dependent |              | X [ ] -        | 0        |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description   |
|----------------------------|----------------------------|---|
| 1202                       | 18                         | 2202 9 Claims in excess of 20                                     |
| 1201                       | 86                         | 2201 43 Independent claims in excess of 3                         |
| 1203                       | 290                        | 2203 145 Multiple dependent claim, if not paid                    |
| 1204                       | 86                         | 2204 43 ** Reissue independent claims over original patent        |
| 1205                       | 18                         | 2205 9 ** Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2) (\$ 0)**

\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity        | Small Entity | Fee Description |   |
|---------------------|--------------|-----------------|---|
| Fee Code            | Fee Code     | Fee (\$)        | Fee (\$)  |
| 1051                | 130          | 2051            | 65 Surcharge - late filing fee or oath  |
| 1052                | 50           | 2052            | 25 Surcharge - late provisional filing fee or cover sheet                     |
| 1053                | 130          | 1053            | 130 Non-English specification   |
| 1812                | 2,520        | 1812            | 2,520 For filing a request for ex parte reexamination                         |
| 1804                | 920*         | 1804            | 920* Requesting publication of SIR prior to Examiner action                   |
| 1805                | 1,840*       | 1805            | 1,840* Requesting publication of SIR after Examiner action                    |
| 1251                | 110          | 2251            | 55 Extension for reply within first month                                     |
| 1252                | 420          | 2252            | 210 Extension for reply within second month                                   |
| 1253                | 950          | 2253            | 475 Extension for reply within third month                                    |
| 1254                | 1,480        | 2254            | 740 Extension for reply within fourth month                                   |
| 1255                | 2,010        | 2255            | 1,005 Extension for reply within fifth month                                  |
| 1401                | 330          | 2401            | 165 Notice of Appeal  |
| 1402                | 330          | 2402            | 165 Filing a brief in support of an appeal                                    |
| 1403                | 290          | 2403            | 145 Request for oral hearing  |
| 1451                | 1,510        | 1451            | 1,510 Petition to institute a public use proceeding                           |
| 1452                | 110          | 2452            | 55 Petition to revive - unavoidable   |
| 1453                | 1,330        | 2453            | 665 Petition to revive - unintentional  |
| 1501                | 1,330        | 2501            | 665 Utility issue fee (or reissue)  |
| 1502                | 480          | 2502            | 240 Design issue fee  |
| 1503                | 640          | 2503            | 320 Plant issue fee   |
| 1460                | 130          | 1460            | 130 Petitions to the Commissioner   |
| 1807                | 50           | 1807            | 50 Processing fee under 37 CFR 1.17(q)  |
| 1806                | 180          | 1806            | 180 Submission of Information Disclosure Stmt                                 |
| 8021                | 40           | 8021            | 40 Recording each patent assignment per property (times number of properties) |
| 1809                | 770          | 2809            | 385 Filing a submission after final rejection (37 CFR 1.129(a))               |
| 1810                | 770          | 2810            | 385 For each additional invention to be examined (37 CFR 1.129(b))            |
| 1801                | 770          | 2801            | 385 Request for Continued Examination (RCE)                                   |
| 1802                | 900          | 1802            | 900 Request for expedited examination of a design application                 |
| Other fee (specify) |              |                 |   |

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$ 420.00)**

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Date

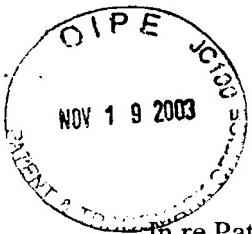
Signature

Typed or printed name

## SUBMITTED BY

|                   |                      |                                   |        |           |                   |
|-------------------|----------------------|-----------------------------------|--------|-----------|-------------------|
| Name (Print/Type) | Jeffrey L. Costellia | Registration No. (Attorney/Agent) | 35,483 | Telephone | (202) 585-8000    |
| Signature         |                      |                                   |        | Date      | November 19, 2003 |

SEND TO: Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450



ATTORNEY DOCKET NO.: 740756-2438

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: ) Confirmation No.: 3255  
Shunpei YAMAZAKI et al. ) Group Art Unit: 1762  
Serial No. 10/078,240 ) Examiner: Lee, Granvill D  
Filed: February 20, 2002 ) Date: November 19, 2003  
For: METHOD OF MANUFACTURING A )  
SEMICONDUCTOR DEVICE )

Commissioner for Patents  
U.S. Patent and Trademark Office  
2011 South Clark Place  
**Customer Window, Mail Stop Non-Fee Amendment**  
Crystal Plaza Two, Lobby, Room 1B03  
Arlington, VA 22202

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**AMENDMENT**

Sir:

In response to the final Office Action mailed **June 19, 2003**, the following amendments and remarks are submitted in connection with the above-identified application.

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